BCM Focus Funds

INHERITED IRA TRANSFER REQUEST

IRA Trustee's or Custodian's Name and Address:

Regular Mail Delivery
BCM Focus Funds
PO Box 2175
Milwaukee, WI 53201-2175

Overnight Delivery
BCM Focus Funds
c/o UMB Fund Services, Inc
235 W Galena Street
Milwaukee, WI 53212

The* Inherited IRA Transfer Request *is used to facilitate a transfer of assets between two Inherited IRAs.

PART I: INHERITED IRA OWNER INFORMATION

Name: ______________________ Taxpayer ID Number: ______________ Date of Birth: ________

Residence Address: ____

Mailing Address: ____

Primary Phone: ______________________ Email Address: ______________________

PART II: DECEASED IRA OWNER INFORMATION

Name: ______________________ Taxpayer ID Number: ______________________

Date of Birth: ______________________ Date of Death: ______________________

PART III: CURRENT INHERITED IRA TRUSTEE/CUSTODIAN INFORMATION

IRA Trustee/Custodian Name: ______________________ Phone: ______________________

Address: ____

PART IV: TRANSFER INSTRUCTIONS

To request an Inherited IRA-to Inherited IRA transfer, please check the box.

☐ I request to transfer the current Inherited IRA to the receiving Inherited IRA as described in Part V. I understand that if there is a required distribution from the Inherited IRA for the current year, it is my responsibility to withdraw the required distribution from the receiving Inherited IRA (or another eligible Inherited IRA) before the end of the calendar year, if the required distribution was not satisfied prior to the transfer.

PART V: TRANSFER DESCRIPTION *(Select One)*

☐ Inherited Traditional/SEP IRA to Inherited Traditional/SEP IRA
Current Inherited IRA Account/Plan Number: ______________
Receiving Inherited IRA Account/Plan Number: ______________

☐ Inherited Roth IRA to Inherited Roth IRA
Current Inherited IRA Account/Plan Number: ______________
Receiving Inherited IRA Account/Plan Number: ______________

☐ Inherited SIMPLE IRA to Inherited SIMPLE IRA
Current Inherited IRA Account/Plan Number: ______________
Receiving Inherited IRA Account/Plan Number: ______________

☐ Inherited SIMPLE IRA to Inherited Traditional/SEP IRA*
Current Inherited IRA Account/Plan Number: ______________
Receiving Inherited IRA Account/Plan Number: ______________

**You may not transfer SIMPLE IRA assets to a Traditional/SEP IRA until at least two years have elapsed from the time of the participant's initial participation in the employer's SIMPLE IRA plan.*

☐ Other: (Explain) ____

PART VI: LIQUIDATION INSTRUCTIONS

I authorize and direct the current IRA trustee/custodian to liquidate assets as follows (select one).

☐ Immediately liquidate all assets and send the cash proceeds to the receiving Inherited IRA Trustee/Custodian.

☐ Partially liquidate $__________________of the current IRA and send the proceeds to the receiving Inherited IRA Trustee/Custodian. (Additional written liquidation instructions may be required.)

☐ Transfer in-kind

☐ Other (describe): ____

PART VII: INVESTMENT SELECTION (RECEIVING IRA)

Name of Investment	Share Class (if applicable)	Allocation
1.		$________________ or _________%
2.		$________________ or _________%
3.		$________________ or _________%
TOTAL		$________________ or _________%

☐ Addendum attached for additional investment selections. If you need additional space to make investment selections, attach a separate sheet that includes all of the information requested above. Sign and date the sheet.

PART VIII: TRANSFER INSTRUCTIONS

☐ By Check:
Make check payable as follows: BCM Focus Funds as Custodian
FBO ____ (Name of IRA Owner) Traditional, SEP, SIMPLE or Roth IRA (as applicable)

Please mail check to:

Regular Mail Delivery
BCM Focus Funds
PO Box 2175
Milwaukee, WI 53201-2175

Overnight Delivery
BCM Focus Funds
C/O UMB Fund Services, Inc
235 W Galena Street
Milwaukee, WI 53212

☐ By Wire For wire instructions call 1-888-885-8859

PART IX: ACKNOWLEDGEMENT

By signing this *Inherited IRA Transfer Request*, I certify that the information I have provided is true and correct. I authorize the IRA Trustee/Custodian to directly transfer the IRA assets as indicated. I will indemnify and hold the IRA Trustee/Custodian harmless from any consequences related to executing my directions. I understand that I am responsible for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that arise resulting from my actions or inactions. I have been advised to seek competent legal and tax advice and have not been provided any such advice from the Trustee/Custodian. I understand that if I am subject to the required minimum distribution requirements, special rules apply; and I assume responsibility for my actions or inactions regarding those issues. I also understand that beneficiary payouts from this Inherited IRA must continue as required by the Code and as prescribed by the IRS, and I assume all responsibilities for these payouts.

Signature of Inherited IRA Owner: ____ Date: ______________

Medallion signature guarantee (if required)

Please check with your current trustee/custodian to determine if a Medallion signature guarantee is required to process this transfer.
A Medallion signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms participating in the Securities Transfer Association Medallion Program. Approved programs currently include STAMP, SEMP and MSP. **A notary public stamp or seal is not acceptable.**

PART X: ACCEPTANCE

By signing below, UMB Bank, n.a, agrees to accept this transfer as instructed above.

Signature of Receiving IRA Trustee/Custodian Representative: ______________________________ Date:______________